### **BEST AVAILABLE COPY**



#### PC7

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau

# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : C07H 21/00	A1	11) International Publication Number: WO 95/11910 43) International Publication Date: 4 May 1995 (04.05.95)
	1897/121 4 (24.10.9	(81) Designated States: AU, CA, JP, KR, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL,
(30) Priority Data: 08/143,832 27 October 1993 (27.10.9) (71) Applicant: RIBOZYME PHARMACEUTICA [US/US]; 2950 Wilderness Place, Boulder, CO (72) Inventor: DUDYCZ, Lech, W.; 4887-E White I Boulder, CO 80301 (US). (74) Agents: WARBURG, Richard, J. et al.; Lyon & Interstate World Center, Suite 4700, 633 West Los Angeles, CA 90071-2066 (US).	ALS, IP 80301 (U Rock Cir	

## (54) Title: 2'-AMIDO AND 2'-PEPTIDO MODIFIED OLIGONUCLEOTIDES

#### (57) Abstract

Oligonucleotide comprising a nucleotide base having formula (I) whereir. B is a nucleotide base or hydrogen; R<sub>1</sub> and R<sub>2</sub> indepently are selected from the group consisting of hydrogen, an alkyl group containing between 2 and 10 carbon atoms inclusive, an amine, an amino acid, and a peptide containing between 2 and 5 amino acids inclusive; and the zigzag lines are independently hydrogen or a bond.

#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

A marria	GB	United Kingdom	MR	Mauritania
		Controls	MW	Malawi
	_	-	NE	Niger
			NL	Netherlands
			NO	Norway
		• •		New Zealand
Bulgaria				Poland
Benin		•		Portugal
Brazil	-			Romania
Belarus		•		Russian Federation
Canada				Sudan
Central African Republic	KP			Sweden
Congo				Slovenia
Switzerland				Slovakia
Côte d'Ivoire	KZ	••———		Senegal
Cameroon	Li	=		Chad
China	LK	Sri Lanka		
	LU	Luxembourg		Togo
	LV	Larvia	-	Tajikistan
•	MC	Monaco		Trinidad and Tobago
•	MD	Republic of Moldova		Ukraine
•	MG	Madagascar		United States of America
•	ML	Mali		Uzbekistan
		Mongolia	VN	Viet Nam
Cohen		· ·		
	Brazil Belarus Canada Central African Republic Congo Switzerland Côte d'Ivoire Cameroon China Czechoslovakia Czech Republic Germany Denmark Spain Finland France	Australia GE Barbados GN Belgium GR Burkina Faso HU Bulgaria IE Benin IT Brazil JP Belarus KE Canada KG Central African Republic KP Congo Switzerland KR Cöte d'Ivoire KZ Cameroon LI China LK Czechoslovakia LU Czech Republic LV Germany MC Spain MG Spain MG Finland ML France MN	Australia GE Georgia Barbados GN Guinea Belgium GR Greece Burkina Faso HU Hungary Bulgaria IE Ireland Benin IT Italy Benin J Jp Japan Brazil JP Japan Belarus KE Kenya Canada KG Kyrgystan Central African Republic of Korea Congo Switzerland KR Republic of Korea Cote d'Ivoire KZ Kazakhstan Cameroon LI Liechtenstein China LK Sri Lanka Czechoslovakia LU Luxembourg Czech Republic MC Monaco Denmark MD Republic of Moldova Spain Finland ML Mall France MN Mongolia	Australia GE Georgia MW Barbados GN Guinea NE Belgium GR Oreece NL Burkina Faso HU Hungary NO Bulgaria IE Ireland NZ Benin IT Italy PL Brazil JP Japan PT Belarus KE Kenya RO Canada KG Kyrgystan RU Central African Republic KP Democratic People's Republic SD Switzerland KR Republic of Korea SE Switzerland KR Republic of Korea SI Cote d'Ivoire KZ Kazakhstan SK Cameroon LI Liechtenstein SN China LK Sri Lanka TD China LK Sri Lanka TD Czechoslovakia LU Luxembourg TG Czechoslovakia LU Luxembourg TG Czechoslovakia LV Latvia TJ Czech Republic MG Monaco TT Germany MC Monaco TT Denmark MD Republic of Moldova UA Spain MG Madagascer US Finland ML Mali UZ France

1

#### DESCRIPTION

#### 2'-AMIDO AND 2'-PEPTIDO MODIFIED OLIGONUCLEOTIDES

#### Background of the Invention

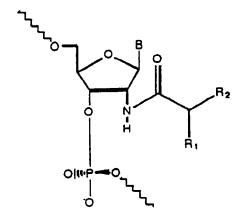
This invention relates to 2'- modifications of oligonucleotides.

Usman et al., "Nucleozymes", International
Application No. PCT/US93/00833, describe modification of
the 2'-hydroxyl group of RNA to produce modified
nucleotides. Such nucleotides are termed nucleic acid
analogues, and may have a "good coordinating ligand" with
divalent metal ions, e.g., a halogen, or amine group.
Acyclic analogues are also proposed.

Eckstein, International Application No. PCT/EP91/01811 (WO 92/0/065), describes 2'-hydroxyl modifications of RNA having the following substitutions in place of the hydroxyl group: halo, sulfhydryl, azido, amino, monosubstituted amino and disubstituted amino.

#### Summary of the Invention

This invention relates to replacement of the 2'hydroxyl group of a ribonucleotide moiety with a 2'-amido
or 2'-peptido moiety. Generally, such a nucleotide has
the general structure shown in Formula I below:



Formula I

PCT/US94/12164 WO 95/11910

2

The base (B) is any one of the standard bases or is a modified nucleotide base known to those in the art, or can be a hydrogen group. In addition, either R<sub>1</sub> or R<sub>2</sub> is H or an alkyl, alkene or alkyne group containing between 2 and 5 10 carbon atoms, or hydrogen, an amine (primary, secondary or tertiary, e.g., R<sub>1</sub>NR<sub>4</sub> where each R<sub>3</sub> and R<sub>4</sub> independently is hydrogen or an alkyl, alkene or alkyne having between 2 and 10 carbon atoms, or is a residue of an amino acid, i.e., an amide), an alkyl group, or an amino acid (D or L 10 forms) or peptide containing between 2 and 5 amino acids. The zigzag lines represent hydrogen, or a bond to another base or other chemical moiety known in the art. Preferably, one of  $R_1$  and  $R_2$  is an H, and the other is an amino acid or peptide.

15

Applicant has recognized that RNA can assume a much more complex structural form than DNA because of the presence of the 2'-hydroxyl group in RNA. This group is able to provide additional hydrogen bonding with other hydrogen donors, acceptors and metal ions within the RNA 20 molecule. Applicant now provides molecules which have a modified amine group at the 2' position, significantly more complex structures can be formed by the modified oligonucleotide. Such modification with a 2'amido or peptido group leads to expansion and enrichment 25 of the side-chain hydrogen bonding network. The amide and peptide moieties are responsible for complex structural formation of the oligonucleotide and can form strong complexes with other bases, and interfere with standard base pairing interactions. Such interference will allow 30 the formation of a complex nucleic acid and protein conglomerate.

Oligonucleotides of this invention significantly more stable than existing oligonucleotides and can potentially form biologically active bioconjugates 35 not previously possible for oligonucleotides. also be used for in vitro selection of unique aptamers, that is, randomly generated oligonucleotides which can be

PCT/US94/12164 WO 95/11910

3

folded into an effective ligand for a target protein, nucleic acid or polysaccharide.

Thus, in a first aspect, the invention features an oligonucleotide containing the modified base shown in 5 Formula I, above.

Other features and advantages of the invention will be apparent from the following description of the preferred embodiments thereof, and from the claims.

#### Description of the Preferred Embodiments

10

Oligonucleotides of this invention are described generally above, and the structure is shown in Formula I, where such modifications to the 2'-hydroxyl group can be made in one or more positions of an RNA or DNA molecule. Preferably, the oligonucleotide is single-stranded and has 15 between 10 and 50 bases of which one or more may be modified as shown, preferably, between 1 and 10 are modified. Such oligonucleotides may include those having enzymatic activity, i.e., ribozymes, which are modified in the 2'- position of the sugar moiety as shown in Formula 20 I to provide stability to that enzymatic activity without significant alteration of the activity.

Oligonucleotides of the present invention can be readily synthesized using carbamate protecting groups, such as F-moc, in the peptide moieties and deprotected 25 under mild basic conditions. Such nucleotides can then be incorporated by standard solid phase synthesis using nucleoside phosphoramidite or H-phosphonate intermediates. <u>Use</u>

The above nucleotides are particularly useful in Ribozymes are RNA molecules having an 30 ribozymes. enzymatic activity which is able to repeatedly cleave other separate RNA molecules in a nucleotide base sequence Such enzymatic RNA molecules can be specific manner. targeted to virtually any RNA transcript, and efficient 35 cleavage achieved in vitro. Kim et al., 84 Proc. Natl. Acad. Sci. USA 8788, 1987; Haseloff and Gerlach, 334

4

<u>Nature</u> 585, 1988; Cech, 260 <u>JAMA</u> 3030, 1988; and Jefferies et al., 17 <u>Nucleic Acids Research</u> 1371, 1989.

Ribozymes act by first binding to a target RNA. Such binding occurs through the target RNA binding portion of a ribozyme which is held in close proximity to an enzymatic portion of the RNA which acts to cleave the target RNA. Thus, the ribozyme first recognizes and then binds a target RNA through complementary base-pairing, and once bound to the correct site, acts enzymatically to cut the target RNA. Strategic cleavage of such a target RNA will destroy its ability to direct synthesis of an encoded protein. After a ribozyme has bound and cleaved its RNA target it is released from that RNA to search for another target and can repeatedly bind and cleave new targets.

15 The enzymatic nature of a ribozyme advantageous over other technologies, such as antisense technology (where a nucleic acid molecule simply binds to a nucleic acid target to block its translation) since the effective concentration of ribozyme necessary to effect a therapeutic treatment is lower than that of an antisense 20 oligonucleotide. This advantage reflects the ability of the ribozyme to act enzymatically. Thus, a single ribozyme molecule is able to cleave many molecules of In addition, the ribozyme is a highly target RNA. 25 specific inhibitor, with the specificity of inhibition depending not only on the base pairing mechanism of binding, but also on the mechanism by which the molecule inhibits the expression of the RNA to which it binds. That is, the inhibition is caused by cleavage of the RNA 30 target and so specificity is defined as the ratio of the rate of cleavage of the targeted RNA over the rate of cleavage of non-targeted RNA. This cleavage mechanism is dependent upon factors additional to those involved in base pairing. Thus, it is thought that the specificity of 35 action of a ribozyme is greater than that of antisense oligonucleotide binding the same RNA site.

5

By "enzymatic RNA molecule" it is meant an RNA molecule which has complementarity in a substrate binding region to a specified gene target, and also has an enzymatic activity which is active to specifically cleave 5 RNA in that target. That is, the enzymatic RNA molecule is able to intermolecularly cleave RNA and thereby inactivate a target RNA molecule. This complementarity functions to allow sufficient hybridization of the enzymatic RNA molecule to the target RNA to allow the cleavage to occur. One hundred percent complementarity is preferred, but complementarity as low as 50-75% may also be useful in this invention. By "equivalent" RNA to picornavirus is meant to include those naturally occurring RNA molecules associated with viral caused diseases in 15 various animals, including humans, and other primates. These viral RNAs have similar structures and equivalent genes to each other.

In preferred embodiments the enzymatic RNA molecule is formed in a hammerhead motif, but may also be 20 formed in the motif of a hairpin, hepatitis delta virus. group I intron or RNaseP RNA (in association with an RNA guide sequence). Examples of such hammerhead motifs are described by Rossi et al., 8 Aids Research and Human Retroviruses 183, 1992; of hairpin motifs by Hampel et 25 al., "RNA Catalyst for Cleaving Specific RNA Sequences," filed September 20, 1989, which is a continuation-in-part of U.S. Serial No. 07/247,100 filed September 20, 1988, Hampel and Tritz, 28 Biochemistry 4929, 1989 and Hampel et al., 18 Nucleic Acids Research 299, 1990; and an example 30 of the hepatitis delta virus motif is described by Perrotta and Been, 31 Biochemistry 16, 1992; of the RNaseP motif by Guerrier-Takada et al., 35 Cell 849, 1983; and of the group I intron by Cech et al., U.S. Patent 4,987,071. These specific motifs are not limiting in the invention 35 and those skilled in the art will recognize that all that is important in an enzymatic RNA molecule of this invention is that it has a specific substrate binding site

20

which is complementary to one or more of the target gene RNA regions, and that it have nucleotide sequences within or surrounding that substrate binding site which impart an RNA cleaving activity to the molecule.

#### 5 Synthesis of Ribozymes

Ribozymes useful in this invention can be produced by chemical synthesis. Chemical synthesis of RNA is similar to that for DNA synthesis. The additional 2'-OH group in RNA, however, requires a different protecting with selective 3'-5' deal strategy to 10 group formation. and bond internucleotide susceptibility to degradation in the presence of bases. The recently developed method of RNA synthesis utilizing the t-butyldimethylsilyl group for the protection of the 15 2'-hydroxyl is the most reliable method for synthesis of The method reproducibly yields RNA with the ribozymes. correct 3'-5' internucleotide linkages, with average coupling yields in exccas of 99%, and requires only a twostep deprotection of the polymer.

A method based upon H-phosphonate chemistry gives a relatively lower coupling efficiency than a method based upon phosphoramidite chemistry. This is a problem for synthesis of DNA as well. A promising approach to scale-up of automatic oligonucleotide synthesis has been 25 described recently for the H-phosphonates. A combination of a proper coupling time and additional capping of "failure" sequences gave high yields in the synthesis of oligodeoxynucleotides in scales in the range of 14 µmoles with as little as 2 equivalents of a monomer in the 30 coupling step. Another alternative approach is to use soluble polymeric supports (<u>e.g.</u>, polyethylene glycols), instead of the conventional solid supports. This method can yield short oligonucleotides in hundred milligram quantities per batch utilizing about 3 equivalents of a 35 monomer in a coupling step.

Various modifications to ribozyme structure can be made to enhance the utility of ribozymes. Such

7

modifications will enhance shelf-life, half-life in vitro, stability, and ease of introduction of such ribozymes to the target site, <u>e.g.</u>, to enhance penetration of cellular membranes, and confer the ability to recognize and bind to targeted cells.

Exogenous delivery of ribozymes benefits from chemical modification of the backbone, e.g., by the overall negative charge of the ribozyme molecule being reduced to facilitate diffusion across the cell membrane. 10 The present strategies for reducing the oligonucleotide charge include: modification of internucleotide linkages by ethylphosphonates, use of phosphoramidites, linking oligonucleotides to positively charged molecules, and creating complex packages composed of oligonucleotides, 15 lipids and specific receptors or effectors for targeted Examples of such modifications include sulfurcontaining ribozymes containing phosphorothicates and phosphorodithioates as internucleotide linkages in RNA. Synthesis of such sulfur-modified ribozymes is achieved by 20 use of the sulfur-transfer reagent, 3H-1,2-benzenedithiol-3-one 1,1-dioxide. Ribozymes may also contain ribose modified ribonucleotides as described herein. Ribozymes can also be either electrostatically or covalently attached to polymeric cations for the purpose of reducing 25 charge. The polymer can be attached to the ribozyme by simply converting the 3'-end to a ribonucleoside dialdehyde which is obtained by a periodate cleavage of the terminal 2',3'-cis diol system. Depending on the specific requirements for delivery systems, other possible 30 modifications may include different linker arms containing carboxyl, amino or thiol functionalities. Yet further examples include use of methylphosphonates and 2'-0methylribose and 5' or 3' capping or blocking with m,GpppG or m<sub>3</sub><sup>2,2,7</sup>GpppG.

For example, a kinased ribozyme is contacted with guanosine triphosphate and guanyltransferase to add a m<sup>3</sup>G cap to the ribozyme. After such synthesis, the

ribozyme can be gel purified using standard procedure. To ensure that the ribozyme has the desired activity, it may be tested with and without the 5' cap using standard procedures to assay both its enzymatic activity and its stability.

Synthetic ribozymes, including those containing various modifiers, can be purified by high pressure liquid chromatography (HPLC). Other liquid chromatography techniques, employing reverse phase columns and anion exchangers on silica and polymeric supports may also be used.

There follows an example of the synthesis of one A solid phase phosphoramidite chemistry is ribozyme. employed. Monomers used are 2'-tert-butyl-dimethylsilyl 15 cyanoethylphosphoramidites of uridine, N-benzoyl-cytosine, N-phenoxyacetyl adenosine and guanosine (Glen Research, Solid phase synthesis is carried out on Sterling, VA). either an ABI 394 or 380B DNA/LWA synthesizer using the standard protocol provided with each machine. The only 20 exception is that the coupling step is increased from 10 The phosphoramidite concentration is to 12 minutes. Synthesis is done on a 1 µmole scale using a 0.1 M. 1 µmole RNA reaction column (Glen Research). The average coupling efficiencies are between 97% and 98% for the 394 25 model, and between 97% and 99% for the 380B model, as determined by a calorimetric measurement of the released trityl cation.

Blocked ribozymes are cleaved from the solid support (e.g., CPG), and the bases and diphosphoester moiety deprotected in a sterile vial by dry ethanolic ammonia (2 mL) at 55°C for 16 hours. The reaction mixture is cooled on dry ice. Later, the cold liquid is transferred into a sterile screw cap vial and lyophilized. These conditions are suitable for removal of carbamate blocking groups in the 2' modifications shown in Formula I, and the amido and peptido linkages remain intact.

To remove the 2'-tert-butyl-dimethylsilyl groups from the ribozyme, the residue is suspended in 1 M tetran-butylammonium fluoride in dry THF (TBAF), using a 20fold excess of the reagent for every silyl group, for 16
hours at ambient temperature (about 15-25°C). The
reaction is quenched by adding an equal volume of sterile
1 M triethylamine acetate, pH 6.5. The sample is cooled
and concentrated on a SpeedVac to half the initial volume.

The ribozymes are purified in two steps by HPLC on a C4 300 Å 5 mm DeltaPak column in an acetonitrile gradient.

The first step, or "trityl on" step, is a separation of 5'-DMT-protected ribozyme(s) from failure sequences lacking a 5'-DMT group. Solvents used for this step are: A (0.1 M triethylammonium acetate, pH 6.8) and B (acetonitrile). The elution profile is: 20% B for 10 minutes, followed by a linear gradient of 20% B to 50% B over 50 minutes, 50% B for 10 minutes, a linear gradient of 50% B to 100% B over 10 minutes, and a linear gradient of 100% B to 0% B over 10 minutes.

The second step is a purification of a completely deblocked ribozyme by a treatment of 2% trifluoroacetic acid on a C4 300 Å 5 mm DeltaPak column in an acetonitrile gradient. Solvents used for this second step are: A (0.1 M Triethylammonium acetate, pH 6.8) and B (80% acetonitrile, 0.1 M triethylammonium acetate, pH 6.8). The elution profile is: 5% B for 5 minutes, a linear gradient of 5% B to 15% B over 60 minutes, 15% B for 10 minutes, and a linear gradient of 15% B to 0% B

The fraction containing ribozyme is cooled and lyophilized on a SpeedVac. Solid residue is dissolved in a minimum amount of ethanol and sodium perchlorate in acetone. The ribozyme is collected by centrifugation, washed three times with acetone, and lyophilized.

10

#### Administration of Ribozyme

ribozymes be administered Selected can prophylactically, or to diseased patients, e.g., exogenous delivery of the ribozyme to an infected tissue 5 by means of an appropriate delivery vehicle, <u>e.g.</u>, a liposome, a controlled release vehicle, by use of iontophoresis, electroporation or ion paired molecules, or covalently attached adducts, and other pharmacologically approved methods of delivery. Routes of administration include intramuscular, aerosol, oral (tablet or pill form), topical, systemic, ocular, intraperitoneal and/or intrathecal. Expression vectors for immunization with ribozymes and/or delivery of ribozymes are also suitable.

The specific delivery route of any selected 15 ribozyme will depend on the use of the ribozyme. Generally, a specific delivery program for each ribozyme will focus on naked ribozyme uptake with regard to intracellular localization, followed by monstration of efficacy. Alternatively, delivery to these same cells in 20 an organ or tissue of an animal can be pursued. studies will include uptake assays to evaluate cellular oligonucleotide uptake, regardless of the delivery vehicle strategy. Such assays will also determine the intracellular localization of the ribozyme following 25 uptake, ultimately establishing the requirements for maintenance of steady-state concentrations within the cellular compartment containing the target sequence (nucleus and/or cytoplasm). Efficacy and cytotoxicity can then be tested. Toxicity will not only include cell 30 viability but also cell function.

Some methods of delivery that may be used include:

- encapsulation in liposomes, a.
- transduction by retroviral vectors, b.
- 35 conjugation with cholesterol, c.

5

11

d. localization to nuclear compartment utilizing antigen binding site found on most snRNAs,

e. neutralization of charge of ribozyme by using nucleotide derivatives, and

f. use of blood stem cells to distribute ribozymes throughout the body.

At least three types of delivery strategies are useful in the present invention, including: ribozyme 10 modifications, particle carrier drug delivery vehicles, and retroviral expression vectors. Unmodified ribozymes, like most small molecules, are taken up by cells, albeit slowly. To enhance cellular uptake, the ribozyme may be modified essentially at random, in ways which reduces its charge but maintains specific functional groups. This results in a molecule which is able to diffuse across the cell membrane, thus removing the permeability barrier.

Modification of ribozymes to reduce charge is just one approach to enhance the cellular uptake of these 20 larger molecules. The random approach, however, is not advisable since ribozymes are structurally and functionally more complex than small drug molecules. structural requirements necessary to maintain ribozyme catalytic activity are well understood by those in the 25 art. These requirements are taken into consideration when designing modifications to enhance cellular delivery. The modifications are also designed to reduce susceptibility to nuclease degradation. Both of these characteristics should greatly improve the efficacy of the ribozyme. 30 Cellular uptake can be increased by several orders of magnitude without having to alter the phosphodiester linkages necessary for ribozyme cleavage activity.

Chemical modifications of the phosphate backbone will reduce the negative charge allowing free diffusion across the membrane. This principle has been successfully demonstrated for antisense DNA technology. The similarities in chemical composition between DNA and RNA

PCT/US94/12164

WO 95/11910

make this a feasible approach. In the body, maintenance of an external concentration will be necessary to drive the diffusion of the modified ribozyme into the cells of Administration routes which allow the the tissue. 5 diseased tissue to be exposed to a transient high concentration of the drug, which is slowly dissipated by systemic adsorption are preferred. administration with a drug carrier designed to increase the circulation half-life of the ribozyme can be used. 10 The size and composition of the drug carrier restricts rapid clearance from the blood stream. The carrier, made to accumulate at the site of infection, can protect the ribozyme from degradative processes.

Drug delivery vehicles are effective for both systemic and topical administration. They can be designed to serve as a slow release reservoir, or to deliver their contents directly to the target cell. An advantage of using direct delivery drug vehicles is that multiple molecules are delivered per uptake. Such vehicles have been shown to increase the circulation half-life of drugs which would otherwise be rapidly cleared from the blood stream. Some examples of such specialized drug delivery vehicles which fall into this category are liposomes, hydrogels, cyclodextrins, biodegradable nanocapsules, and bioadhesive microspheres.

From this category of delivery systems, liposomes are preferred. Liposomes increase intracellular stability, increase uptake efficiency and improve biological activity.

30 Liposomes are hollow spherical vesicles composed of lipids arranged in a similar fashion as those lipids which make up the cell membrane. They have an internal aqueous space for entrapping water soluble compounds and range in size from 0.05 to several microns in diameter.

35 Several studies have shown that liposomes can deliver RNA to cells and that the RNA remains biologically active.

13

For example, a liposome delivery vehicle originally designed as a research tool, Lipofectin, has been shown to deliver intact mRNA molecules to cells yielding production of the corresponding protein.

5. Liposomes offer several advantages: They are non-toxic and biodegradable in composition; they display long circulation half-lives; and recognition molecules can be readily attached to their surface for targeting to tissues. Finally, cost effective manufacture of liposome
10 based pharmaceuticals, either in a liquid suspension or lyophilized product, has demonstrated the viability of this technology as an acceptable drug delivery system.

Other controlled release drug delivery systems, such as nonoparticles and hydrogels may be potential delivery vehicles for a ribozyme. These carriers have been developed for chemotherapeutic agents and protein-based pharmaceuticals, and consequently, can be adapted for ribozyme delivery.

Topical administration of ribozymes 20 advantageous since it allows localized concentration at site of administration with minimal adsorption. This simplifies the delivery strategy of the ribozyme to the disease site and reduces the extent of toxicological characterization. Furthermore, the amount 25 of material to be applied is far less than that required for other administration routes. Effective delivery requires the ribozyme to diffuse into the infected cells. Chemical modification of the ribozyme to neutralize negative charge may be all that is required for 30 penetration. However, in the event that neutralization is insufficient, the modified ribozyme can be co-formulated with permeability enhancers, such as Azone or oleic acid, in a liposome. The liposomes can either represent a slow release presentation vehicle in 35 which the modified ribozyme and permeability enhancer transfer from the liposome into the infected cell, or the liposome phospholipids can participate directly with the

WO 95/11910

5

and permeability enhancer modified ribozyme facilitating cellular delivery. In some cases, both the ribozyme and permeability enhancer can be formulated into a suppository formulation for slow release.

Ribozymes may also be systemically administered. Systemic absorption refers to the accumulation of drugs in the blood stream followed by distribution throughout the entire body. Administration routes which lead to systemic include: intravenous, subcutaneous, absorption 10 intraperitoneal, intranasal, intrathecal and ophthalmic. Each of these administration routes expose the ribozyme to accessible diseased tissue. Subcutaneous administration drains into a localized lymph node which lymphatic network proceeds through the into the The rate of entry into the circulation has 15 circulation. been shown to be a function of molecular weight or size. The use of a liposome or other drug carrier localizes the ribozyme at the lymph node. The ribozyme can be modified to diffuse into the cell, or the liposome can directly 20 participate in the delivery of either the unmodified or modified ribozyme to the cell.

liposome formulation which can deliver ribozymes to lymphocytes and macrophages is also useful for the initial site of influenza virus replication is in 25 tissues of the nasopharynx and respiratory system. Coating of lymphocytes with liposomes containing ribozymes will target the ribozymes to infected cells expressing viral surface antigens. Whole blood studies show that the formulation is taken up by 90% of the lymphocytes after 8 37°C. Preliminary biodistribution 30 hours at pharmacokinetic studies yielded 70% of the injected dose/gm of tissue in the spleen after one hour following intravenous administration.

Intraperitoneal administration also leads to 35 entry into the circulation, with once again, the molecular weight or size controlling the rate of entry.

15

Liposomes injected intravenously show accumulation in the liver, lung and spleen. The composition and size can be adjusted so that this accumulation represents 30% to 40% of the injected dose.

The rest is left to circulate in the blood stream for up to 24 hours.

The chosen method of delivery should result in cytoplasmic accumulation and molecules should have some nuclease-resistance for optimal dosing. Nuclear delivery 10 may be used but is less preferable. Most preferred delivery methods include liposomes (10-400 nm), hydrogels, controlled-release polymers, microinjection electroporation (for ex vivo treatments) and other pharmaceutically applicable vehicles. The dosage will 15 depend upon the disease indication and the route of administration but should be between 100-200 mg/kg of body weight/day. The duration of treatment will extend through the course of the disease symptoms, usually at least 14-16 days and possibly continuously. Multiple daily doses are 20 anticipated for topical applications, ocular applications and vaginal applications. The number of doses will depend upon disease delivery vehicle and efficacy data from clinical trials.

Establishment of therapeutic levels of ribozyme within the cell is dependent upon the rate of uptake and degradation. Decreasing the degree of degradation will prolong the intracellular half-life of the ribozyme. Thus, chemically modified ribozymes, e.g., with modification of the phosphate backbone, or capping of the 5' and 3' ends of the ribozyme with nucleotide analogues may require different dosaging. Descriptions of useful systems are provided in the art cited above, all of which is hereby incorporated by reference herein.

The claimed ribozymes are also useful as diagnostic tools to specifically or non-specifically detect the presence of a target RNA in a sample. That is, the target RNA, if present in the sample, will be

PCT/US94/12164

specifically cleaved by the ribozyme, and thus can be readily and specifically detected as smaller RNA species. The presence of such smaller RNA species is indicative of the presence of the target RNA in the sample.

Other embodiments are within the following claims.

17

#### <u>Claims</u>

1. Oligonucleotide comprising a nucleotide base having the formula:

wherein B is a nucleotide base or hydrogen; R<sub>1</sub> and R<sub>2</sub> independently is selected from the group consisting of hydrogen, an alkyl group containing between 2 and 10 carbon atoms inclusive, an amine, an amino acid, and a peptide containing between 2 and 5 amino acids inclusive; and the zigzag lines are independently hydrogen or a bond.

#### TERNATIO SEARCH REPORT

rez. nal Application No -/CT/US 94/12164

A. CLASS IPC 6	NFICATION OF SUBJECT MATTER C07H21/00		
		. :	
	to International Patent Classification (IPC) or to both national class	sification and IPC	
	S SEARCHED  Iocumentation searched (classification system followed by classific	at on ambala)	<del></del>
IPC 6	CO7H	adua symbolsy	·
Documenta	tion searched other than minimum documentation to the extent tha	t such documents are included in the fields	searched
Electronic	iats base consulted during the international search (name of data b	ase and, where practical, search terms used	
C. DOCUM	MENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the	relevant passages	Relevant to claim No.
X	WO,A,91 06556 (GILEAD SCIENCES, May 1991 see the whole document, but espe page 17, example 1		1
X	WO,A,88 00201 (CALIFORNIA INSTITUTE OF TECHNOLOGY) 14 January 1988 see the whole document, but especially page 66; page 68, lines 1-19; claim 1		1
		-/	
X Furt	her documents are listed in the continuation of box C.	X Patent family members are listed	in annex.
'A' docum	degories of cited documents : sent defining the general state of the art which is not leved to be of particular relevance	T later document published after the in or priority date and not in conflict wated to understand the principle or invention	ith the application but
'L' docum	document but published on or after the international data ent which may throw doubts on priority claim(s) or is cited to establish the publication date of another	"X" document of particular relevance; the cannot be considered novel or cannot involve an invantive step when the d "Y" document of particular relevance; the	ot be considered to ocument is taken alone
citatio 'O" docum other:	n or other special reason (as specified) must referring to an oral disclosure, use, exhibition or means	cannot be considered to involve an i document is combined with one or i ments, such combination being obvi in the art.	nore other such docu-
	ent published prior to the international filing date but han the priority date claimed	'&' document member of the same pater	
Date of the	actual completion of the international search	Date of mailing of the international	search report
2	7 January 1995	0 2. 03. 95	
Name and	mailing address of the ISA  European Patent Office, P.B. 581 8 Patentiaan 2  NL - 2280 HV Ristwijk	Authorized officer	
	Td. (+31-70) 340-2040, Tx. 31 651 epo ni,	Scott, J	

1

#### INTERNATIC .. SEARCH REPORT

mai Application No
Pui/US 94/12164

0.00	DOCUMENTS COMMENTS	PC1/US 94	1/1/104
	con) DOCUMENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.
X	JOURNAL OF CARBOHYDRATES NUCLEOSIDES AND NUCLEOTIDES, vol.7, no.5, 1980 pages 297 - 313 S.CHLADEK ET AL. 'Aminoacyl Derivatives of Nucleosides, Nucleotides and Polynucleotides. XXXII. Synthesis of Aminoacyldinucleoside Phosphates Derived from 2'- and 3'-Aminodeoxyadenosine.' see the whole document		1
	•		
	•		
	·		

1

#### INTERN'TONAL SEARCH REPORT

rmation on patent family members

'na conal Application No PCT/US 94/12164

Patent document cited in search report	Publication date	Patent family member(s)	,	Publication date
WO-A-9106556	16-05-91	EP-A- 0	715790 497875 504552	31-05-91 12-08-92 15-07-93
WO-A-8800201	14-01-88	DE-D- 3 DE-T- 3 EP-A- 0	849513 750080 750080 270651 501149	18-07-89 21-07-94 22-09-94 15-06-88 20-04-89

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

#### **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:
☐ BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.